

**NON-SOLICITATION LETTER**

To: \_\_\_\_\_

From: \_\_\_\_\_

Account No.: \_\_\_\_\_

In accordance with Securities and Exchange Commission Rule 15c2-6, the "Penny Stock Rule," I would like to inform you that I was not solicited by any employee of \_\_\_\_\_, to authorize the following transaction(s):

\_\_\_\_\_ (Buy/Sell) \_\_\_\_\_ (Shares/Units) \_\_\_\_\_ (Price per Share)

\_\_\_\_\_  
(Security Name)

I also understand the risks with this/these transaction(s), and I will not hold \_\_\_\_\_ liable for any losses that I may incur with these securities.

Sincerely yours,

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Printed Name

\_\_\_\_\_  
Date